A SYMMETRIC CHAIN DECOMPOSITION OF L(4,n)

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Abstract.

$$\begin{split} & L(m,n) \quad \text{is the set of integer m-tuples} \quad (a_1, \bullet \boxtimes \bullet a_m) \quad \text{with} \\ & 0 \leq al \leq \cdots \leq a_m \leq n \ , \ \text{ordered by } \underline{a} \leq b \ \text{when } a_1 \leq b_1 \ \text{for all i} \ . \\ & \text{R. Stanley conjectured that } L(m,n) \quad \text{is a symmetric chain order for} \\ & \text{all } (m,n) \ . \quad \text{We verify this by construction for } m = 4 \ . \end{split}$$

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L(m,n) is defined as the lattice formed by order ideals in the direct product of two chains with m and n elements, respectively. Equivalently, it is the collection of integer sequences $a = (a_1, \dots, a_m)$ satisfying $0 \le a_1 \le \dots \le a_m \le n$, withordering $a \le b$ when $a_i \le b_i$ for all i. The correspondence is simple. If the chain elements are $x_1 < \dots < x_m$ and $y_1 < \dots < y_n$, then the number of elements paired with x_i in the ideal corresponding to a is $n - a_i$. In other words, the antichain generating the ideal is $\{(x_1, y_{n-a_1}), \dots, (x_m, y_{n-a_m})\}$.

Clearly, the rank of element a is $\sum a_{n_{1}}$, the rank of the entire lattice is mn, and the cardinality of the lattice is $\binom{m+n}{m}$. For any element a, we define its conjugate $a^* = (n - a_{m'} \dots n - n - a_{1})$. Note that $a^{**} = a$. The ranks of an element and its conjugate sum to mn, so the sizes of the ranks are symmetric about the middle. Using complex algebraic methods, R. Stanley [3] proved the sizes of the ranks are also unimodal. These are necessary conditions for a stronger property he conjectured also holds. He conjectured that L(m,n) is a symmetric chain order. A symmetric chain order is one whose elements can be partitioned into chains which are saturated (skip no ranks) and symmetric about the middle rank. The conjecture is clear when m = 1 or m = 2. Lindström [2] provided an inductive construction to verify it for m = 3. Here we give a construction somewhat different from his which verifies the conjecture when m = 4.

Let S(m,n), the "shell" of L(m,n), be those elements which begin with 0 or end with n. When these are removed from L(m,n) the remainder is isomorphic to L(m,n-2). The conjecture holds trivially when n = 1, and L(m;0) can be defined as having a single element. So, providing a symmetric chain decomposition of S(m,n) proves the conjecture by induction. We use this approach here for L(4,n). Unfortunately, when m is odd and n is even the rank sizes in S(m,n) are not unimodal. So, for that case Lindström was forced to strip off two shells for his induction. For m = 4 this difficulty does not arise. It is possible that Lindström's construction generalizes for odd m and this does so for even m. When m and n both exceed 2, L(m,n) is not an LYM-order, so Griggs' sufficient conditions for a symmetric chain order [1] cannot be applied.

Theorem. L(4,n) is a symmetric chain order.

It suffices to give a symmetric chain decomposition of S(4,n). The chains will be of two types, C_{ij} and D_{ij} for suitable values of i and j. The chains are clearly saturated, so two steps will complete the proof.

(1) No element appears in more than one chain.

(2) The number of elements in the construction is the size of S(m,n).

Each chain is composed of six segments, with the top element of one segment and the bottom element of the next identical. Throughout a given segment only one position in the integer sequence changes. Table 1 explicitly defines the chains and gives the ranks where the changes between segments occur.

Segments must have length at least 0 . That is, top and bottom elements may be identical, but the top element must not have rank below the bottom element. Examining the lengths of segments and ensuring that

rank	C. j.	segment	Dij	rank
4n-6i-2j	n-3i-j, n-2i-j, n-i, n)		(n-Ji-j-2, n-2i-j-1, n-i, n)	4n-6i-2j-3
	•••	9		
4n-6i-3j	n-Ji-j, n-2i-j, n-i-j, n)		(j+l , n-2i-j-l , n-i , n)	3n-3i
	•••	Ŋ		
3n-3i-2j	0, n-2i-j, n-i-j, n)		(j+l, i+j+l, n-i, n)	2n+2j+2
		4	•••	
3n-3i-3j	(0, n-2i-j, n-i-j, n-j)		(j+1, i+j+1, 2i+j+1, n)	n+3i+3j+3
	•••	б		
2n-2j	(0, i, n-i-j, n-j)		0 , i+j+l , 2i+j+l , n)	n+3i+2j+2
	•••	CV		
n+Ji	(0, i, 2i+j, n-j)		(0, i+j+l, 2i+j+l, 3i+j+l)	6i+3j+3
	•••	Ч	••••	
6i+2j	(0, 5, 2i+j, 3i+j)		(• , i+I , 2i+j+l , 3i+j+l	6i+2j+3

Table 1

we have legal elements at the bottom of C_{ij} and the top of D_{ij} yields necessary conditions on i and j. We claim the desired decomposition is obtained by taking all chains for which these necessary conditions are satisfied.

 $S(4,n) = \{C_{ij}: \exists i+2j \le n, i \ge 0, j \ge 0\} \cup \{D_{ij}: \exists i+2j \le n-3, i \ge 0, j \ge 0\}$ Figure 1 gives S(4,7) explicitly as an example.

7777 6777 5777 4777 2777 2777 2777 0777 0677 0577 0477 0277 0277 0067 0077 0067 0057 0047 0057 0047 0057 0047 0027 0017 0006 0005 0004 0005 0004 0003	6677 6667 5667 2667 2667 1667 0666 0366 0366 0366 0166 0056 0046 0036 0016 0015 0014 0013 0012 0011	5577 5567 4557 3557 2557 1557 0557 05557 0455 00255 00255 00255 00255 00224 00223 00224	4477 4467 4457 4447 3447 2447 0447 0446 0445 0444 0344 0244 0144 0034 0033	5677 4677 3677 2677 1677 1577 1477 1377 1277 1177 1167 1157 1147 J-J-37 1127 1147 J-J-37 1127 0117 0116 0115 0114 0113 0112 0111	4577 3577 2577 2477 2277 2267 2257 2247 2237 2227 0227 0227 0227 0225 0224 0223 0222 0122	3477 3377 3357 3357 3337 2337 2337 2337 0337 0335 0335 0335 0233 0133	4567 2567 2567 0567 0467 0267 0167 0167 0127 0127 0126 0125 0124 0123	3467 3457 2457 1457 0456 0356 0156 0146 0136 0134	2367 2357 2347 1347 0346 0345 0245 0145	2467 1467 1367 1257 1247 1237 0237 0236 0235 0234	1357 0357 0257 0247 0246
C _{OO}	Col	^C 02	^С 03	D_{OO}	D _{ol}	D ₀₂	Clo	°11	C ₁₂	D _{lo}	C ₂₀

Figure 1. S(4,7)

<u>Outline of Proof.</u> To show the elements are all distinct, we express the D-chains in terms of the C-chains and then restrict our attention to the C -chains. Let $C_{i,j}^{r}$ be the element of $C_{i,j}$. of rank r, similarly for D. $\frac{r}{i,j}$. We claim that chain $D_{i,j-1}$ is the conjugate of chain $C_{i,j}$ when the top and bottoms elements of the latter are removed. That is, $(D_{i,j-1}^{r})^{*} = C_{i,j}^{4n-r}$. It suffices to perform the conjugation on the transition elements between segments of $D_{i,j-1}$. They become the transition elements of $C_{i,j}$. Note the top and bottom elements of $C_{i,j}$ are unaffected and are conjugates of each other. Whenever $D_{i,j-1}$ exists, $C_{i,j}$ exists. The affected $C_{i,j}$ are those where j>O and 3i+2j < n. Distinctness now reduces to showing:

- (la) The elements of $\bigcup \{C_{i,j}\}$ are all distinct.
- (1b) The chains C_{i0} and $C_{i,(n-3i)/2}$ are self-conjugate.
- (lc) There are no conjugate pairs among the elements of $\cup\{C_{ij}\}$, where 0 < j < (n-3i)/2 , other than the tops and bottoms of chains.

(lb) is seen immediately by conjugating the transition elements in those chains. The other two statements require eliminating a large number of easy cases.

To show we have the correct number of elements, we proceed by induction. Simple counting verifies it for small n . In general, the size of S(m,n) is |L(m,n)| - |L(m,n-2)|. So,

$$|s(4,n)| = (\frac{n+4}{4}) - (\frac{n+2}{4}) = \frac{(n+1)(n+2)(2n+3)}{6}$$

This is the sum of a familiar sequence. Indeed,

 $|S(4,n)| - |S(4,n-1)| = (n+1)^2$.

Now we examine the changes in the construction between n-1 and n , For all values of i and j such that C_{1j} . or D_{1j} exists in the construction for n-1 , a similarly indexed chain exists in the construction for n . Subtracting ranks, the number of elements in C_{1j} . is 4(n-3i-j)+1, and the number in D_{1j} is 4(n-3i-j)-5. Each of these chains has 4 more elements than the similarly indexed chain in S(4,n-1), if that chain exists. We will see there is a C_{1j} for every element of the middle rank which begins with 0 and a D_{1j} for every such element whose first position is not zero.

The chains which arise newly when n is reached are those C. for which 3i+2j = n and those D_{ij} for which 3i+2j = n-3. For each value of i from 0 up to $\lfloor n/3 \rfloor$ or $\lfloor n/3 \rfloor - 1$, depending on parities, there will be one new C_{ij}. or D. $_{ij}$ but not both.

Verifying that the construction picks up the proper number of elements reduces to:

- (2a) Computing (and multiplying by 4) the number of chains in the construction for S(4,n-1) -- that is, the sum of the number of solutions to 3i+2j < n-1 and 3i+2j < n-4.
- (2b) Computing the total number of elements in new chains.
- (2c) Verifying the sum of new elements in (2a) and (2b) is ${\rm (n+l)}^2$.

(2b) breaks into cases depending on the parity of n , and (2a) does the same with the parity of $\lfloor n/3 \rfloor$, so (2c) requires six cases, depending on the congruence class of n modulo 6.

<u>Details of Step 1.</u> If (la) does not hold, suppose a = $C_{r,l} = C_{kl}^r \cdot W^e$ have a number of cases to consider, depending on which segment contains a

in each of the two chains. Let ${}^{p}C_{ij}$ denote segment p in C_{ij} . Equating the descriptions of the segments in Table 1 give us a number of linear relationships between i, j, k, and l. If <u>a</u> comes from ${}^{p}C_{ij}$ and ${}^{p}C_{kl}$, equating the positions which do not change in that segment implies i = k and j = l in all six cases, by straightforward subtraction of equalities.

By symmetry we may assume <u>a</u> occurs in a lower numbered segment in C_{ij} than in $C_{k\ell}$. We allow the transition elements between segments to belong to either segment. So, if <u>a</u> is in ${}^{p}C_{ij}$ and ${}^{q}C_{k\ell}$, we may assume <u>a</u> is not the top element of ${}^{p}C_{ij}$ nor the bottom element of ${}^{q}C_{k\ell}$, else we have a case with smaller q-p. In particular, the rank of the top element in ${}^{p}C_{ij}$ must be strictly greater than the rank of the bottom element in ${}^{q}C_{k\ell}$.

Suppose q = p+1. This comparison of ranks yields a strict inequality when a particular linear function is applied to (i,j) and to (k, l). Whenever q = p+1 two positions in the elements remain constant from the bottom of segment p to the top of segment q. This expresses two positions of a as identical linear functions of (i,j) and (k, l). In all five cases, we readily get the same linear function we obtained by considering ranks, but with equality this time.

If the first position of <u>a</u> is nonzero, <u>a</u> can occur only in segments 5 or 6. If it is zero, <u>a</u> occurs in segment 4 or below. This eliminates all but three of the cases which might have $C_{ij}^{r} = C_{k\ell}^{r}$ with $(i,j) \neq (k,\ell)$. The remainder we handle individually.

If \underline{a} is in ${}^{2}C_{ij}$ and ${}^{4}C_{k\ell}$, positions 2 and 3 require i = n-2k- ℓ and n-i-j > n-k- ℓ . Adding these gives n-j > 2n-3k-2 ℓ > n.

Next suppose <u>a</u> is in ${}^{1}C_{ij}$ and ${}^{3}C_{k\ell}$. Equality of the last three positions requires k < i, $n-k-\ell = 2i+j$, and $n-\ell \ge 3i+j$. Substituting for k and $n-\ell$ in the equation gives 2i+j < 2i+j. Finally, suppose <u>a</u> is in ${}^{1}C_{ij}$ and ${}^{4}C_{k\ell}$. Comparing the top of ${}^{1}C_{ij}$ with the bottom of ${}^{4}C_{k\ell}$ yields $n+3i > 3n-3k-3\ell \ge n+3k+\ell$ or i > k. On the other hand, the middle two positions of <u>a</u> remain constant in both sections, so $i = n-2k-\ell$ and $2i+j = n-k-\ell$. Subtraction gives i+j = k or $i \le k$.

(1c) also breaks into cases depending on the segments. We assume $\underline{a} = C_{ij}^r = (C_{k\ell}^{4n-r})^*$, with 0 < j < (n-3i)/2 and $0 < \ell < (n-3k)/2$. Here the arguments do not group together as cleanly. One element of such a conjugate pair occurs at least as high as the middle rank in one chain. Call this chain C_{ij} . For ease of comparison, we have recorded C_{ij} and $C_{k\ell}^*$ in Table 2. Since 3n-3i-3j < 2n, <u>a</u> lies in segment 4, 5, or 6 of C_{ij} . Since $n+3k+2\ell < 2n$, <u>a</u> lies in segment 3, 4, 5, or 6 of $C_{k\ell}^*$. Assume $\underline{a} \in ({}^{p}C_{ij} \cap {}^{q}C_{k\ell})$.

We first notice p = 4 is impossible, as it would imply $\ell \le 0$. We handle the remaining cases individually. Again we equate corresponding positions in <u>a</u>. The requirements on j and ℓ figure prominently. For example, $i+j \le k$ and $i \ge k+\ell$ give us a contradiction, as do $n-3i-j \le \ell$ and $n-3k-\ell \le j$.

p = 6, q = 6. $a_2 \Rightarrow 2i+j = 2k+l$. $a_3 \Rightarrow i \le k$. $a_1 \Rightarrow 3i+j \ge 3k+l$. Subtracting a_2 implies $i \ge k$. So (i,j) = (k,l), and this is the case where the top and bottom of the chain are conjugate.

 $p = 5 , q = 5 . a_3 \Rightarrow i+j = k . a_2 \Rightarrow 2i+j \ge 2k+\ell .$ Subtracting a_3 implies $i \ge k+\ell .$

p = 6, q = 5. $a_3 \Rightarrow k \ge i$. $a_1 \Rightarrow n-3i-j = l$. Substituting for i gives $n-3k-l \le j$. As mentioned earlier, this is a contradiction since both 3i+2j and 3k+2l must be less than n.

Table 2.

rank	C i j	segment	С.* К. <i>е</i>	rank
4n-6i-2j	(n-ji-j, n-2i-j, n-i, n)		(n-3k- <i>l</i> , n-2k- <i>l</i> , n-k , n)	4n-6k-21
		Ś		
4n-68-3j	(n-3i-j , n-2 i-j , n-i-j , n)		(1, n-2k-1, n-k, n)	Jn-Jk
	•••	Ъ	•••	
3n-3i-2j	(0, n-2i-j, n-i-j, n)		(1, k+1, n-k, n)	2n+21
	•••	74	•••	
3n-3i-3j	(0, n-2i-j, n-i-j, n-j)		(l, k+l, 2k+l, n)	n+3k+3 <i>l</i>
	••••	М	•••	
2n-2j	(0, i, n-i-j, n-j)		(0, k+1, 2k+1, n)	n+3k+2l
		Q		
n+3i	(0, i, 2i+j, n-j)		(0, k+1, 2k+1, 3k+1)	6 k +3 <i>l</i>
		Ч		
ói+2j	(0, i, ai+j, 3i+j)		(0, k, 2k+ <i>l</i> , 3k+ <i>l</i>)	6 k +2 <i>l</i>

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p = 5, q = 6. $a_3 \Rightarrow i+j = k$. $a_2 \Rightarrow 2i+j = 2k+l$. Subtracting a_3 implies i = k+l, so j = l = 0.

p = 6, $q = 4 \cdot a_1 \Rightarrow n-3i-j = l \cdot a_2 \Rightarrow n-2i-j = k+l \cdot$ Subtracting a₁ gives i = k. Substituting in a_2 yields n-3k-l = j, giving the same contradiction as in (p,q) = (6,5).

p = 5, $q = 4 \cdot a_1 \Rightarrow n-3i-j > l$ (equality returns us to the previous case). $a_2 \Rightarrow n-2i-j = k+l$. Subtracting a_1 gives i < k. $a_3 \Rightarrow n-i-j \ge 2k+l$. Subtracting a_2 gives i > k.

p = 6, q = 3. Lest p-q be smaller, the requirement on ranks is $4n-3i-3j < n+3k+3\ell$, so $n-2i-j < k+\ell$. But $a_p \Rightarrow n-2i-j = k+\ell$.

p = 5, q = 3. $a_2 \Rightarrow n-2i-j = k+l$. $a_3 \Rightarrow n-i-j = 2k+l$. Subtracting a_1 yields i = k. Substituting this in the two previous equations gives the familiar contradiction n-3i-j = l and n-3k-l = j.

This completes the proof of (1).

Details of Step 2. We begin with (2a). The top element of segment 4 in C_{ij} has rank $3n-3i-2j \ge 2n$, so every C_{ij} has a 0 in the first position of its middle rank element. The bottom rank of segment 3 in D_{ij} is n+3i+2j+2 < 2n-1, so D_{ij} has a positive first position in its middle rank element. The non-decreasing sequences of length 4 which start with 0, end in k, and sum to 2n run from (0, 2n-2k, k, k) to $(0, \lfloor (2n-k)/2 \rfloor, \lceil (2n-k)/2 \rceil, k)$ when $n \ge k \ge \lceil 2n/3 \rceil$. So, we want the number of C_{ij} 's to be $\sum_{\lfloor 2n/3 \rceil \le k \le n} k - \lceil (2n-k)/2 \rceil + 1$. Similarly, $\lceil 2n/3 \rceil \le k \le n$ (k, $\lfloor (n-k)/2 \rfloor$, $\lceil (n-k)/2 \rceil$, n) for $l \leq k \leq \lfloor n/3 \rfloor$, for a total of $\sum_{\substack{l \leq k \leq \lfloor n/3 \rfloor}} \lfloor (n-k)/2 \rfloor - k+l.$

On the other hand, the number of solutions to $3i+2j \leq n$ is

 $\begin{array}{c|c} & \sum & 1+\lfloor (n-3i)/2 \rfloor & \text{and to } 3i+2j \leq n-3 \text{ is} \\ 0 \leq i \leq \lfloor n/3 \rfloor & \\ & \sum & 1+\lfloor (n-3i-3)/2 \rfloor \end{array} \text{ These turn into the desired} \\ 0 \leq i \leq \lfloor n/3 \rfloor - 1 & \\ \text{summations when i is set to n-k in the first case and k-l in the second.} \end{array}$

We wish to combine the summations. Separating the i = 0 term from the first and adjusting the index in the second, the total number f(n) of chains becomes

$$f(n) = l + \lfloor n/2 \rfloor + 2 \sum_{\substack{1 \le i \le \lfloor n/3 \rfloor}} (l + \lfloor (n-3i)/2 \rfloor)$$

To compute the summation, we pair terms for consecutive values of i. If $\lfloor n/3 \rfloor$ is odd, we separate i = $\lfloor n/3 \rfloor$. Adding the terms for i = 2k-1 and i = 2k gives 2+ $\lfloor (n-6k+3)/2 \rfloor + \lfloor (n-6k)/2 \rfloor = n+3-6k$. There are $\lfloor n/6 \rfloor$ pairs altogether, and $\sum_{\substack{l \leq k \leq \lfloor n/6 \rfloor}} (n+3-6k) = 1 \leq k \leq \lfloor n/6 \rfloor$ (n+3) $\lfloor n/6 \rfloor - 3 \lfloor n/6 \rfloor \lfloor (n+6)/6 \rfloor$. When $\lfloor n/3 \rfloor$ is odd, the term 1+ $\lfloor (n-3 \lfloor n/3 \rfloor)/2 \rfloor$ remains. This is 1 if n = 3, 4 mod 6, but 2 if n = 5 mod 6.

Summarizing, if $n \equiv r \mod 6$, $0 \leq r \leq 5$, then the total number of chains is

$$f(n) = \lfloor n/2 \rfloor + 2(n+3) \lfloor n/6 \rfloor - 6 \lfloor n/6 \rfloor \lfloor (n+6)/6 \rfloor + \begin{cases} 1 & ; r = 0, 1, 2 \\ 3 & ; r = 3, 4 \\ 5 & ; r = 5 \end{cases}$$
$$= \lfloor n/2 \rfloor + (n+3)(n-r)/3 - (n-r)(n-r+6)/6 + \begin{cases} 1 & ; r = 0, 1, 2 \\ 3 & ; r = 3, 4 \\ 5 & ; r = 5 \end{cases}$$

Next we consider (2b). If n is even, a new chain C_{ij} occurs for even values of i with $0 \le i \le \lfloor n/3 J$, and a new D_{ij} for odd values of i with $1 \le i \le \lfloor n/3 J - 1$. Similarly, when n is odd we have a new D_{ij} for even i with $1 \le i \le \lfloor n/3 J - 1$ and a new C_{ij} for odd i with $1 \le i \le \lfloor n/3 J$.

To sum the number of elements in these chains, we can again pair consecutive terms. For the total number g(n) of these elements, we have

$$g(n) \begin{cases} = \sum_{\substack{0 \le k \le \lfloor n/6 \rfloor}} |D_{2k-1}, (n-6k)/2| + |C_{2k}, (n-6k)/2| & \text{in even} \\ \sum_{\substack{0 \le k \le \lfloor (n-3)/6 \rfloor}} |D_{2k}, (n-6k-3)/2| + |C_{2k+1}, (n-6k-3)/2| & \text{in odd} \end{cases}$$

Since $|C_{ij}| = 4(n-3i-j)+1$ and $|D_{ij}| = 4(n-3i-j)-5$, this quickly becomes

$$g(n) = \begin{cases} 1+2n+\sum_{\substack{k \leq \lfloor n/6 \rfloor}} 4(n-6k)+8 & ; n even \\ 1 \leq k \leq \lfloor n/6 \rfloor & ; n odd \\ & \\ & \\ 0 \leq k \leq \lfloor (n-3)/6 \rfloor & ; n odd \end{cases}$$

$$= \begin{cases} 1 + 2n + 4(n+2) \lfloor n/6 \rfloor - 12 \lfloor n/6 \rfloor \lfloor (n+6)/6 \rfloor & ; n even \\ 4(n-1) \lfloor (n-3)/6 \rfloor - 12 \lfloor (n-3)/6 \rfloor \lfloor (n+3)/6 \rfloor & ; n odd \end{cases}$$

$$= \begin{cases} 1+2n+2(n+2)(n-r)/3 - (n-r)(n-r+6)/3 & ; r = 0, 2, 4 \\ 2(n-1)(n-r+6)/3 - (n-r)(n-r+6)/3 & ; r = 315 \\ 2((n-1)^2/3 - (n-7)(n-1)/3 & ; r = 1 \end{cases}$$

For (2c), we need only compute 4f(n-1) + g(n), which b&comes simple algebraic manipulation when we consider a particular congruence class of n modulo 6. Beginning with r = 1, we easily obtain expressions like

$$r = 1: 4 + (n-1)(n+3)$$

$$r = 4: 4n + 9 + (n+2)(n-4)$$

$$r = 2: 2n + 5 + (n-2)(n+2)$$

$$r = 5: 2n + 10 + (n-5)(n+5)/3 + 2(n-1)(n+1)/3$$

$$r = 3: 4 + (n-1)(n+3)$$

$$r = 0: 4n + 17 + 2(n-6)(n+4)/3 + n(n-2)/3$$

all of which reduce to $\left(n\text{+l}\right)^2$.

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This completes the proof.

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