# Distributed Databases 

## CS347

Lecture 13
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## Expected Background

- Basic SQL
- Relational algebra
- Following aspects of centralized DB
- Query processing: query plans, cost estimation, optimization
- Concurrency control techniques
- Recovery methods


## Reading Material

- Primarily lecture notes
- No required textbook
- Some lecture material drawn from
M. Tamer Ozsu and Patrick Valduriez, "Principles of Distributed Database Systems," Second Edition, Prentice Hall 1999.


## Centralized DBMS



## Software:

Application SQL Front End Query Processor Transaction Proc. File Access

- Simplifications:
- single front end
- one place to keep locks
- if processor fails, system fails, .....


## Distributed DB

- Multiple processors, memories, and disks
- Opportunity for parallelism (+)
- Opportunity for enhanced reliability ( + )
- Synchronization issues (-)
- Heterogeneity and autonomy of "components"
- Autonomy example: may not get statistics for query optimization from a site


## Heterogeneity

## Select new

 investments

## Big Picture

Data management with multiple processors and possible autonomy, heterogeneity.
Impacts:

- Data organization
- Query processing
- Access structures
- Concurrency control
- Recovery


## Today's topics

- Introductory topics
- Database architectures
- Distributed versus Parallel DB systems
- Distributed database design
- Fragmentation
- Allocation


## Common DB architectures



Shared memory

Shared disk

## Common DB architectures

## Shared nothing



Number of other "hybrid" architectures are possible.

## Selecting the "right" architecture

- Reliability
- Scalability
- Geographic distribution of data
- Performance
- Cost


## Parallel vs. Distributed DB system

- Typically, parallel DBs:
- Fast interconnect
- Homogeneous software
- Goals: High performance and Transparency
- Typically, distributed DBs:
- Geographically distributed
- Disconnected operation possible
- Goal: Data sharing (heterogeneity, autonomy)


## Typical query processing scenarios

- Parallel DB:
- Distribute/partition/sort.... data to make certain DB operations (e.g., Join) fast
- Distributed DB:
- Given data distribution, find query processing strategy to minimize cost (e.g. communication cost)


## Distributed DB Design

Top-down approach:

- have a database
- how to split and allocate to individual sites

Multi-databases (or bottom-up):

- combine existing databases
- how to deal with heterogeneity \& autonomy


## Two issues in top-down design

- Fragmentation
- Allocation

Note: issues not independent, but studied separately for simplicity.

## Example

Employee relation E (\#,name,loc,sal,...)
40\% of queries: $\quad 40 \%$ of queries:
Qa: select *

from E<br>where loc=Sa and...

Qb: select *
from E
where $\mathrm{loc}=\mathrm{Sb}$ and ...

Motivation: Two sites: $\mathrm{Sa}, \mathrm{Sb}$

$$
\mathrm{Qa} \rightarrow \mathrm{Sa} \quad \leftarrow \mathrm{Sb}
$$

$$
\begin{aligned}
& \text { \# Name Loc Sal } \\
& \text { At Sb } \\
& \mathrm{F}_{1}=\sigma_{\mathrm{loc}=\mathrm{Sa}}(\mathrm{E}) \\
& \mathrm{F}_{2}=\sigma_{\mathrm{loc}=\mathrm{Sb}}(\mathrm{E}) \\
& \Rightarrow \text { primary horizontal fragmentation }
\end{aligned}
$$

## Fragmentation



## Horizontal partitioning techniques

- Round robin
- Hash partitioning
- Range partitioning


## Round robin



- Evenly distributes data
- Good for scanning full relation
- Not good for point or range queries


## Hash partitioning



- Good for point queries on key; also for joins
- Not good for range queries; point queries not on key
- Good hash function $\Rightarrow$ even distribution


## Range partitioning



- Good for some range queries on A
- Need to select good vector: else create imbalance
$\rightarrow$ data skew
$\rightarrow$ execution skew


## Which are good fragmentations?

Example 1: $\quad \mathbf{F}=\left\{\mathrm{F}_{1}, \mathrm{~F}_{2}\right\}$

$$
\mathrm{F}_{1}=\sigma_{\mathrm{sal}<10}(\mathrm{E}) \quad \mathrm{F}_{2}=\sigma_{\mathrm{sal}>20}(\mathrm{E})
$$

\& Problem: Some tuples lost!

Example 2: $\quad \mathbf{F}=\left\{\mathrm{F}_{3}, \mathrm{~F}_{4}\right\}$

$$
\mathrm{F}_{1}=\sigma_{\mathrm{sal}<10}(\mathrm{E}) \quad \mathrm{F}_{2}=\sigma_{\mathrm{sal}>5}(\mathrm{E})
$$

E Tuples with $5<$ sal $<10$ are duplicated

## Prefer to deal with replication explicitly

Example: $\mathbf{F}=\left\{\mathrm{F}_{5}, \mathrm{~F}_{6}, \mathrm{~F}_{7}\right\}$

$$
\begin{aligned}
& \mathrm{F}_{5}=\sigma_{\mathrm{sal}<=5}(\mathrm{E}) \\
& \mathrm{F}_{6}=\sigma_{5<\text { sal }<10}(\mathrm{E}) \\
& \mathrm{F}_{7}=\sigma_{\mathrm{sal}>=10}(\mathrm{E})
\end{aligned}
$$

Then replicate $\mathrm{F}_{6}$ if desired as part of allocation

## Horizontal Fragmentation Desiderata

$$
\mathrm{R} \Rightarrow \mathbf{F}=\left\{\mathrm{F}_{1}, \mathrm{~F}_{2}, \ldots,\right\}
$$

(1) Completeness

$$
\forall \mathrm{t} \in \mathrm{R}, \exists \mathrm{~F}_{\mathrm{i}} \in \mathbf{F} \text { such that } \mathrm{t} \in \mathrm{~F}_{\mathrm{i}}
$$

(2) Disjointness

$$
\mathrm{F}_{\mathrm{i}} \cap \mathrm{~F}_{\mathrm{j}}=\varnothing, \forall \mathrm{i}, \mathrm{j} \text { such that } \mathrm{i} \neq \mathrm{j}
$$

(3) Reconstruction

$$
\exists \nabla \text { such that } \mathrm{R}=\stackrel{\dot{\nabla}}{\nabla} \mathrm{F}_{\mathrm{i}}
$$

## Generating horizontal fragments

- Given simple predicates $P_{r}=\left\{p_{1}, p_{2}, . . p_{m}\right\}$ and relation R.
- Generate "minterm" predicates

$$
\begin{aligned}
\mathrm{M}= & \left\{\mathrm{m} \mid \mathrm{m}=\wedge \mathrm{p}_{\mathrm{k}}^{*}, 1 \leq \mathrm{k} \leq \mathrm{m}\right\}, \text { where } \\
& \mathrm{p}_{\mathrm{k}}^{*} \text { is either } \mathrm{p}_{\mathrm{k}} \text { or } \neg \mathrm{p}_{\mathrm{k}}
\end{aligned}
$$

- Eliminate useless minterms and simplify M to get M'.
- Generate fragments $\sigma_{m}(R)$ for each $m \in M^{\prime}$.

$$
5<\mathrm{A}<10 \quad \text { Example }
$$

Example: say queries use predicates

$$
\mathrm{A}<10, \mathrm{~A}>5, \mathrm{Loc}=\mathrm{S}_{\mathrm{A}}, \mathrm{Loc}=\mathrm{S}_{\mathrm{B}}
$$

Eliminate and simplify minterms


- Final set of fragments

$$
\begin{aligned}
& (5<\mathrm{A}<10) \wedge\left(\mathrm{Loc}=\mathrm{S}_{\mathrm{A}}\right) \\
& (5<\mathrm{A}<10) \wedge\left(\mathrm{Loc}=\mathrm{S}_{\mathrm{B}}\right) \\
& (\mathrm{A} \leq 5) \wedge\left(\mathrm{Loc}=\mathrm{S}_{\mathrm{A}}\right) \\
& (\mathrm{A} \leq 5) \wedge\left(\mathrm{Loc}=\mathrm{S}_{\mathrm{B}}\right) \\
& (\mathrm{A} \geq 10) \wedge\left(\mathrm{Loc}=\mathrm{S}_{\mathrm{A}}\right) \\
& (\mathrm{A} \geq 10) \wedge\left(\mathrm{Loc}=\mathrm{S}_{\mathrm{B}}\right)
\end{aligned}
$$

## More on Horizontal Fragmentation

- Elimination of useless fragments/predicates depends on application semantics:
- e.g.: if Loc $\neq S_{A}$ and $\neq S_{B}$ is possible, must retain fragments such as $(5<\mathrm{A}<10) \wedge\left(\operatorname{Loc} \neq \mathrm{S}_{\mathrm{A}}\right) \wedge$ $\left(\mathrm{Loc} \neq \mathrm{S}_{\mathrm{B}}\right)$
- Minterm-based fragmentation generates complete, disjoint, and reconstructible fragments.

```
Justify this
    statement.
```


## Choosing simple predicates

- E (\#,name,loc,sal,...) with common queries

Qa: select * from E where loc $=S_{\mathrm{A}}$ and...
Qb : select * from E where $\mathrm{loc}=\mathrm{S}_{\mathrm{B}}$ and...

- Three choices for $\mathrm{P}_{\mathrm{r}}$ and hence $\mathbf{F}\left[\mathrm{P}_{\mathrm{r}}\right]$ :
$-\mathrm{P}_{\mathrm{r}}=\{ \} \quad \mathrm{F}_{1}=\mathbf{F}\left[\mathrm{P}_{\mathrm{r}}\right]=\{\mathrm{E}\}$
$-\mathrm{P}_{\mathrm{r}}=\left\{\mathrm{Loc}=\mathrm{S}_{\mathrm{A}}, \mathrm{Loc}=\mathrm{S}_{\mathrm{B}}\right\}$
$\mathbf{F}_{2}=\mathbf{F}\left[\mathrm{P}_{\mathrm{r}}\right]=\left\{\sigma_{\mathrm{loc}=\mathrm{SA}}(\mathrm{E}), \sigma_{\mathrm{loc}=\mathrm{SB}}(\mathrm{E})\right\}$
$-\mathrm{P}_{\mathrm{r}}=\left\{\mathrm{Loc}=\mathrm{S}_{\mathrm{A}}, \mathrm{Loc}=\mathrm{S}_{\mathrm{B}}, \mathrm{Sal}<10\right\}$ $\mathbf{F}_{3}=\mathbf{F}\left[\mathrm{P}_{\mathrm{r}}\right]=\left\{\sigma_{\mathrm{loc}=\mathrm{SA} \wedge \text { sal }<10}(\mathrm{E}), \sigma_{\mathrm{loc}=\mathrm{SB} \wedge \mathrm{sal}<{ }_{10}(\mathrm{E}), ~}^{\text {, }}\right.$ $\left.\sigma_{\mathrm{loc}=\mathrm{SA} \wedge \mathrm{sal} \geq 10}(\mathrm{E}), \sigma_{\mathrm{loc}=\mathrm{SA} \wedge \operatorname{sal} \geq 10}(\mathrm{E})\right\}$



## Desiderata for simple predicates

Different from completeness

- Completeness of fragmentation

Set of predicates $P_{r}$ is complete if for every
$F_{i} \in \mathbf{F}\left[P_{r}\right]$, every $t \in F_{i}$ has equal probability
of access by every major application.

- Minimality

Set of predicates $P_{r}$ is minimal if no $P_{r}{ }^{\prime} \subset P_{r}$ is complete.

To get complete and minimal $\mathrm{P}_{\mathrm{r}}$ use predicates that are "relevant" in frequent queries

## Derived horizontal fragmentation

- Example: Two relations Employee and Jobs E(\#, NAME, SAL, LOC) J(\#, DES, ...)
- Fragment E into $\left\{\mathrm{E}_{1}, \mathrm{E}_{2}\right\}$ by $\underline{\mathrm{LOC}}$
- Common query:
"Given employee name, list projects (s)he works in"

$\mathrm{E}_{1}$| $\#$ | NM | Loc | Sal |
| :---: | :---: | :---: | :---: | :---: |
| 5 | Joe | Sa | 10 |
| 8 | Tom | Sa | 15 |
| $\ldots$ |  |  |  |

(at Sa)

| E2 | \# | NM | Loc | Sal |
| :---: | :---: | :---: | :---: | :---: |
|  | 7 | Sally | Sb | 25 |
| 12 |  | Fred | Sb | 15 |
|  |  |  |  |  |

(at Sb )

J | $\#$ | Description |
| :---: | :---: |
| 5 | work on 347 hw |
| 7 | go to moon |
| 5 | build table |
| 12 | rest |
| $\ldots$ |  |


(at Sa)

|  | \# | Des |
| :---: | :---: | :---: |
| $\mathrm{J}_{1}$ | 5 | work on 347 hw |
|  | 5 | build table |
|  |  |  |

$J_{1}=\mathrm{J} \ltimes E_{1}$

E2 | $\#$ | NM | Loc | Sal |
| :---: | :---: | :---: | :---: |
| 7 | Sally | Sb | 25 |
| 12 | Fred | Sb | 15 |
| $\ldots$ |  |  |  |

(at Sb )

$J_{2}$| $\#$ | Des |
| :---: | :---: |
| 7 | go to moon |
| 12 | rest |
|  | $\ldots$ |

$$
\mathrm{J}_{2}=\mathrm{J} \ltimes \mathrm{E}_{2}
$$

## Derived horizontal fragmentation

R , fragmented as $\mathbf{F}=\left\{\mathrm{F}_{1}, \mathrm{~F}_{2}, \ldots, \mathrm{~F}_{\mathrm{n}}\right\}$

$$
\Downarrow
$$

$S$, derive $\mathbf{D}=\left\{D_{1}, D_{2}, \ldots, D_{n}\right\}$ where $D_{i}=S \ltimes F_{i}$
Convention: R is called the owner relation
S is called the member relation

## Completeness of derived fragmentation

Example: Say J is

| $\#$ | Des |
| :---: | :---: |
| $\ldots$ |  |
| 33 | build chair |
| $\ldots$ |  |

- $\mathrm{J}_{1} \mathrm{U}_{2} \subset \mathrm{~J}$ (incomplete fragmentation)
- For completeness, enforce referential integrity constraint
join attribute of member relation

$$
\Downarrow
$$

joint attribute of owner relation

| $\mathrm{E}_{1}$ | $\#$ | NM | Loc | Sal |
| :---: | :---: | :---: | :---: | :---: |
|  | 5 | Joe | Sa | 10 |
|  | $\ldots$ |  |  |  |

E2 | $\#$ | NM | Loc | Sal |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 5 | Fred | Sb | 20 |
|  | $\ldots$ |  |  |  |



Common way to enforce disjointness: make join attribute key of owner relation.

## Vertical fragmentation

Example:

E | $\#$ | NM | Loc | Sal |
| :---: | :---: | :---: | :---: |
| 5 | Joe | Sa | 10 |
| 7 | Sally | Sb | 25 |
| 8 | Fred | Sa | 15 |
| $\ldots$ |  |  |  |

| E1 | \# | NM | Loc | E | \# | Sal |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 5 | Joe | Sa |  | 5 | 10 |
|  | 7 | Sally | Sb |  | 7 | 25 |
|  | 8 | Fred | Sa |  | 8 | 15 |
|  | $\ldots$ |  |  |  |  |  |

$\mathrm{R}[\mathrm{T}] \Rightarrow \mathrm{R}_{1}\left[\mathrm{~T}_{1}\right], \mathrm{R}_{2}\left[\mathrm{~T}_{2}\right], \ldots, \mathrm{R}_{\mathrm{n}}\left[\mathrm{T}_{\mathrm{n}}\right] \quad \mathrm{T}_{\mathrm{i}} \subseteq \mathrm{T}$
Just like normalization of relations

## Properties

$$
\mathrm{R}[\mathrm{~T}] \Rightarrow \mathrm{R}_{\mathrm{i}}\left[\mathrm{~T}_{\mathrm{i}}\right], \mathrm{i}=1 . . \mathrm{n}
$$

- Completeness: $\cup \mathrm{T}_{\mathrm{i}}=\mathrm{T}$
- Reconstruction: $\searrow \mathrm{R}_{\mathrm{i}}=\mathrm{R}$ (lossless join)
- One way to guarantee lossless join: repeat key in each fragment, i.e., key $\subseteq \mathrm{T}_{\mathrm{i}} \forall \mathrm{i}$
- Disjointness: $\mathrm{T}_{\mathrm{i}} \cap \mathrm{T}_{\mathrm{j}}=\{$ key $\}$
- Check disjointness only on non-key attributes


## Grouping Attributes



Which is the right vertical fragmentation?

## Attribute affinity matrix

$\mathrm{A}_{1}$
$\mathrm{~A}_{2}$
$\mathrm{~A}_{3}$
$\mathrm{~A}_{4}$
$\mathrm{~A}_{5}$\(\left(\begin{array}{ccccc}\mathrm{A}_{1} \& \mathrm{~A}_{2} \& \mathrm{~A}_{3} \& \mathrm{~A}_{4} \& \mathrm{~A}_{5} <br>
78 \& 50 \& 45 \& 1 \& 0 <br>
18 \& 25 \& 28 \& 2 \& 0 <br>
45 \& 28 \& 34 \& 0 \& 4 <br>

0 \& 0 \& 4 \& 75 \& 40\end{array}\right)\)| Cluster attributes |
| :--- |
| based on affinity |

- $\mathrm{A}_{\mathrm{i}, \mathrm{j}} \Rightarrow$ a measure of how "often" $\mathrm{A}_{\mathrm{i}}$ and $\mathrm{A}_{\mathrm{j}}$ are accessed by the same query
- Hand constructed using knowledge of queries and their frequencies


## Allocation

Example: $\mathrm{E} \Rightarrow \mathrm{F}_{1}=\sigma_{\mathrm{loc}=\mathrm{Sa}}(\mathrm{E}) ; \quad \mathrm{F}_{2}=\sigma_{\mathrm{loc}=\mathrm{Sb}}(\mathrm{E})$

-Do we replicate fragments?
-Where do we place each copy of each fragment?

## Issues

- Origin of queries
- Communication cost and size of answers, relations, etc.
- Storage capacity, storage cost at sites, and size of fragments
- Processing power at the sites
- Query processing strategy
- How are joins done? Where are answers collected?
- Fragment replication
- Update cost, concurrency control overhead


## Optimization problem

- What is the best placement of fragments and/or best number of copies to:
- minimize query response time
- maximize throughput
- minimize "some cost"
- ...
- Subject to constraints

- Available storage
- Available bandwidth, processing power,...
- Keep $90 \%$ of response time below X


## Looking Ahead

- Query processing
- Decomposition
- Localization
- Distributed query operators
- Optimization (briefly)


## Resources

- Ozsu and Valduriez. "Principles of Distributed Database Systems" - Chapter 5

