

Properties of Context-Free Languages

Decision Properties

Closure Properties

Summary of Decision Properties

- ◆ As usual, when we talk about “a CFL” we really mean “a representation for the CFL, e.g., a CFG or a PDA accepting by final state or empty stack.
- ◆ There are algorithms to decide if:
 1. String w is in CFL L .
 2. CFL L is empty.
 3. CFL L is infinite.

Non-Decision Properties

- ◆ Many questions that can be decided for regular sets cannot be decided for CFL's.
- ◆ **Example:** Are two CFL's the same?
- ◆ **Example:** Are two CFL's disjoint?
 - ◆ How would you do that for regular languages?
- ◆ Need theory of Turing machines and decidability to prove no algorithm exists.

Testing Emptiness

- ◆ We already did this.
- ◆ We learned to eliminate variables that generate no terminal string.
- ◆ If the start symbol is one of these, then the CFL is empty; otherwise not.

Testing Membership

- ◆ Want to know if string w is in $L(G)$.
- ◆ Assume G is in CNF.
 - ◆ Or convert the given grammar to CNF.
 - ◆ $w = \epsilon$ is a special case, solved by testing if the start symbol is nullable.
- ◆ Algorithm (*CYK*) is a good example of **dynamic programming** and runs in time $O(n^3)$, where $n = |w|$.

CYK Algorithm

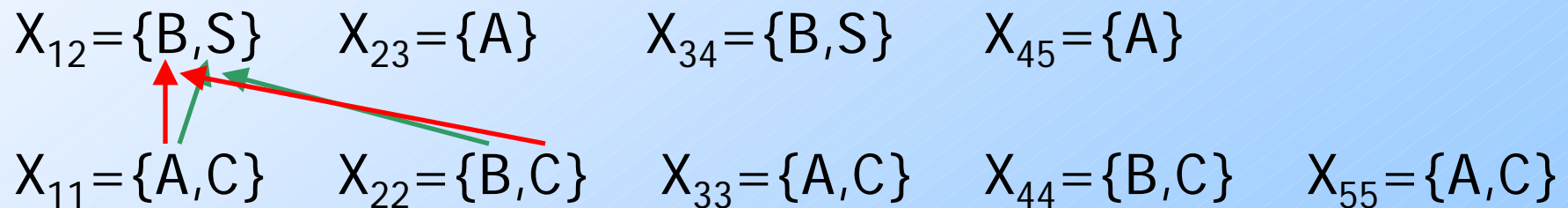
- ◆ Let $w = a_1 \dots a_n$.
- ◆ We construct an n -by- n triangular array of sets of variables.
- ◆ $X_{ij} = \{\text{variables } A \mid A \Rightarrow^* a_i \dots a_j\}$.
- ◆ Induction on $j-i+1$.
 - ◆ The length of the derived string.
- ◆ Finally, ask if S is in X_{1n} .

CYK Algorithm – (2)

- ◆ **Basis:** $X_{ii} = \{A \mid A \rightarrow a_i \text{ is a production}\}$.
- ◆ **Induction:** $X_{ij} = \{A \mid \text{there is a production } A \rightarrow BC \text{ and an integer } k, \text{ with } i \leq k < j, \text{ such that } B \text{ is in } X_{ik} \text{ and } C \text{ is in } X_{k+1,j}\}$.

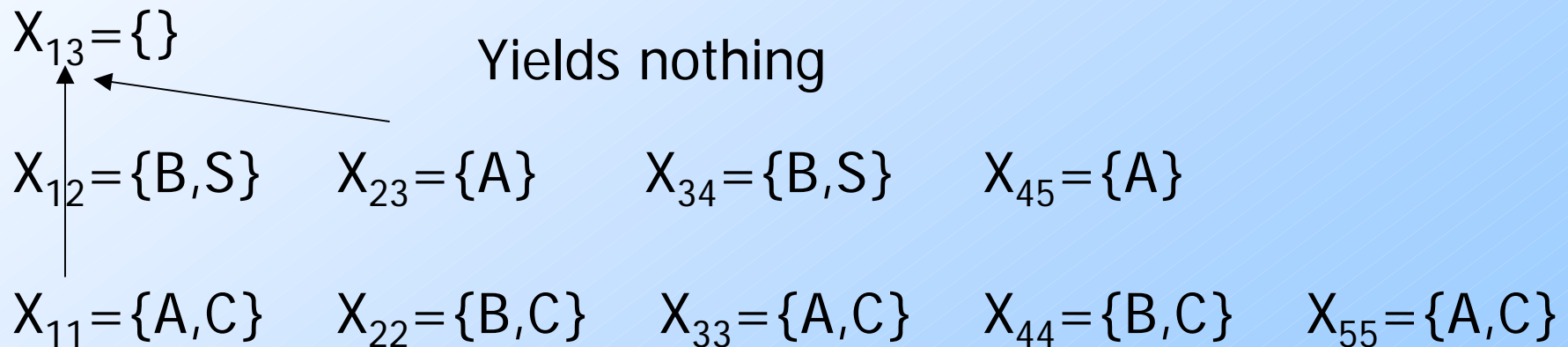
Example: CYK Algorithm

Grammar: $S \rightarrow AB, A \rightarrow BC \mid a, B \rightarrow AC \mid b, C \rightarrow a \mid b$
String $w = ababa$



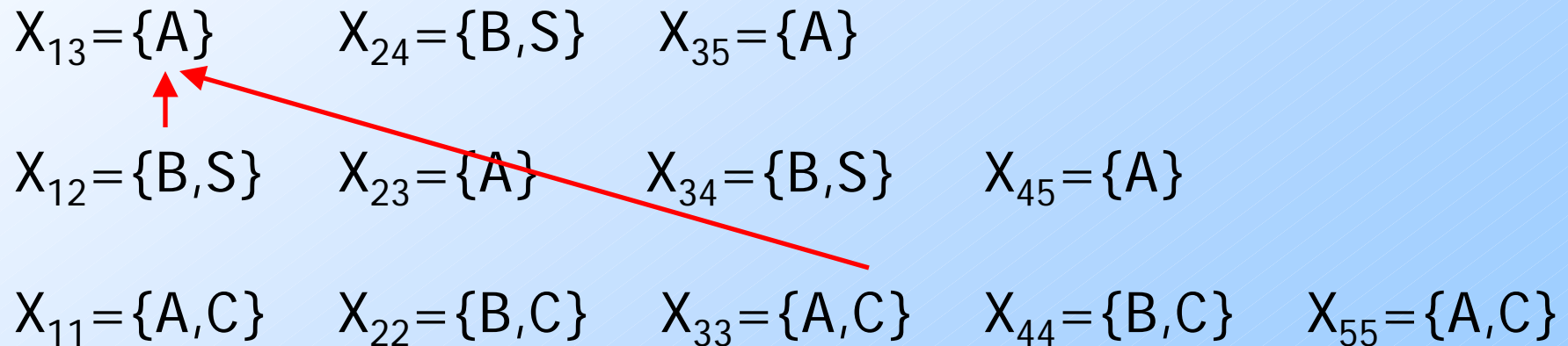
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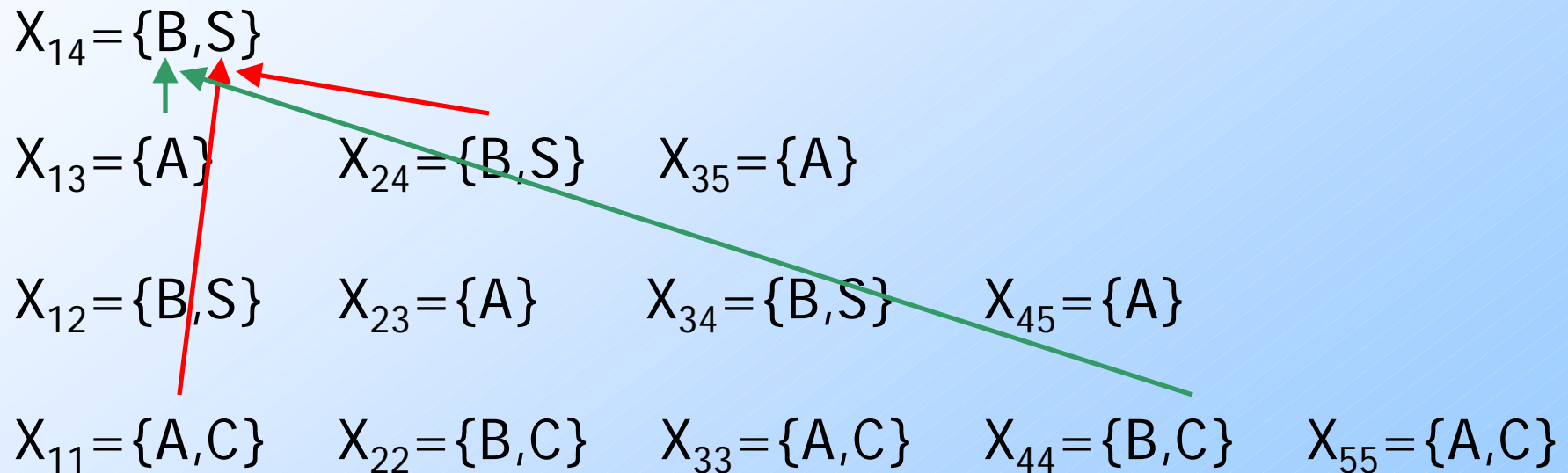
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Example: CYK Algorithm

Grammar: $S \rightarrow AB, A \rightarrow BC \mid a, B \rightarrow AC \mid b, C \rightarrow a \mid b$

String $w = ababa$

$$X_{15} = \{A\}$$



$$X_{14} = \{B, S\}$$

$$X_{25} = \{A\}$$

$$X_{13} = \{A\}$$

$$X_{24} = \{B, S\}$$

$$X_{35} = \{A\}$$

$$X_{12} = \{B, S\}$$

$$X_{23} = \{A\}$$

$$X_{34} = \{B, S\}$$

$$X_{45} = \{A\}$$

$$X_{11} = \{A, C\}$$

$$X_{22} = \{B, C\}$$

$$X_{33} = \{A, C\}$$

$$X_{44} = \{B, C\}$$

$$X_{55} = \{A, C\}$$

Testing Infiniteness

- ◆ The idea is essentially the same as for regular languages.
- ◆ Use the pumping lemma constant n .
- ◆ If there is a string in the language of length between n and $2n-1$, then the language is infinite; otherwise not.
- ◆ Let's work this out in class.

Closure Properties of CFL's

- ◆ CFL's are closed under union, concatenation, and Kleene closure.
- ◆ Also, under reversal, homomorphisms and inverse homomorphisms.
- ◆ But not under intersection or difference.

Closure of CFL's Under Union

- ◆ Let L and M be CFL's with grammars G and H , respectively.
- ◆ Assume G and H have no variables in common.
 - ◆ Names of variables do not affect the language.
- ◆ Let S_1 and S_2 be the start symbols of G and H .

Closure Under Union – (2)

- ◆ Form a new grammar for $L \cup M$ by combining all the symbols and productions of G and H .
- ◆ Then, add a new start symbol S .
- ◆ Add productions $S \rightarrow S_1 \mid S_2$.

Closure Under Union – (3)

- ◆ In the new grammar, all derivations start with S .
- ◆ The first step replaces S by either S_1 or S_2 .
- ◆ In the first case, the result must be a string in $L(G) = L$, and in the second case a string in $L(H) = M$.

Closure of CFL's Under Concatenation

- ◆ Let L and M be CFL's with grammars G and H , respectively.
- ◆ Assume G and H have no variables in common.
- ◆ Let S_1 and S_2 be the start symbols of G and H .

Closure Under Concatenation – (2)

- ◆ Form a new grammar for LM by starting with all symbols and productions of G and H.
- ◆ Add a new start symbol S.
- ◆ Add production $S \rightarrow S_1S_2$.
- ◆ Every derivation from S results in a string in L followed by one in M.

Closure Under Star

- ◆ Let L have grammar G , with start symbol S_1 .
- ◆ Form a new grammar for L^* by introducing to G a new start symbol S and the productions $S \rightarrow S_1 S \mid \epsilon$.
- ◆ A rightmost derivation from S generates a sequence of zero or more S_1 's, each of which generates some string in L .

Closure of CFL's Under Reversal

- ◆ If L is a CFL with grammar G , form a grammar for L^R by reversing the right side of every production.
- ◆ **Example:** Let G have $S \rightarrow 0S1 \mid 01$.
- ◆ The reversal of $L(G)$ has grammar $S \rightarrow 1S0 \mid 10$.

Closure of CFL's Under Homomorphism

- ◆ Let L be a CFL with grammar G .
- ◆ Let h be a homomorphism on the terminal symbols of G .
- ◆ Construct a grammar for $h(L)$ by replacing each terminal symbol a by $h(a)$.

Example: Closure Under Homomorphism

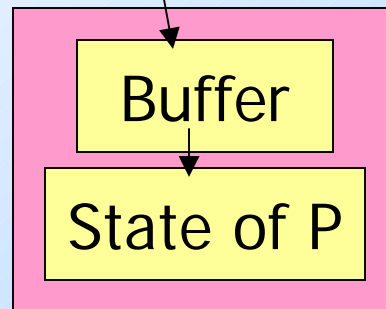
- ◆ G has productions $S \rightarrow 0S1 \mid 01$.
- ◆ h is defined by $h(0) = ab$, $h(1) = \epsilon$.
- ◆ $h(L(G))$ has the grammar with productions $S \rightarrow abS \mid ab$.

Closure of CFL's Under Inverse Homomorphism

- ◆ Here, grammars don't help us.
- ◆ But a PDA construction serves nicely.
- ◆ **Intuition**: Let $L = L(P)$ for some PDA P .
- ◆ Construct PDA P' to accept $h^{-1}(L)$.
- ◆ P' simulates P , but keeps, as one component of a two-component state a buffer that holds the result of applying h to one input symbol.

Architecture of P'

Input: 0 0 1 1
 $h(0)$



Read first remaining
symbol in buffer as
if it were input to P .



Stack
of P

Formal Construction of P'

- ◆ States are pairs $[q, b]$, where:
 1. q is a state of P .
 2. b is a suffix of $h(a)$ for some symbol a .
 - ◆ Thus, only a finite number of possible values for b .
- ◆ Stack symbols of P' are those of P .
- ◆ Start state of P' is $[q_0, \epsilon]$.

Construction of P' – (2)

- ◆ Input symbols of P' are the symbols to which h applies.
- ◆ Final states of P' are the states $[q, \epsilon]$ such that q is a final state of P .

Transitions of P'

1. $\delta'([q, \epsilon], a, X) = \{([q, h(a)], X)\}$ for any input symbol a of P' and any stack symbol X .
 - ◆ When the buffer is empty, P' can reload it.
2. $\delta'([q, bw], \epsilon, X)$ contains $([p, w], \alpha)$ if $\delta(q, b, X)$ contains (p, α) , where b is either an input symbol of P or ϵ .
 - ◆ Simulate P from the buffer.

Proving Correctness of P'

- ◆ We need to show that $L(P') = h^{-1}(L(P))$.
- ◆ **Key argument:** P' makes the transition $([q_0, \epsilon], w, Z_0) \vdash^* ([q, x], \epsilon, \alpha)$ if and only if P makes transition $(q_0, y, Z_0) \vdash^* (q, \epsilon, \alpha)$, $h(w) = yx$, and x is a suffix of the last symbol of w .
- ◆ **Proof** in both directions is an induction on the number of moves made.

Nonclosure Under Intersection

- ◆ Unlike the regular languages, the class of CFL's is not closed under \cap .
- ◆ We know that $L_1 = \{0^n 1^n 2^n \mid n \geq 1\}$ is not a CFL (use the pumping lemma).
- ◆ However, $L_2 = \{0^n 1^n 2^i \mid n \geq 1, i \geq 1\}$ is.
 - ◆ CFG: $S \rightarrow AB, A \rightarrow 0A1 \mid 01, B \rightarrow 2B \mid 2$.
- ◆ So is $L_3 = \{0^i 1^n 2^n \mid n \geq 1, i \geq 1\}$.
- ◆ But $L_1 = L_2 \cap L_3$.

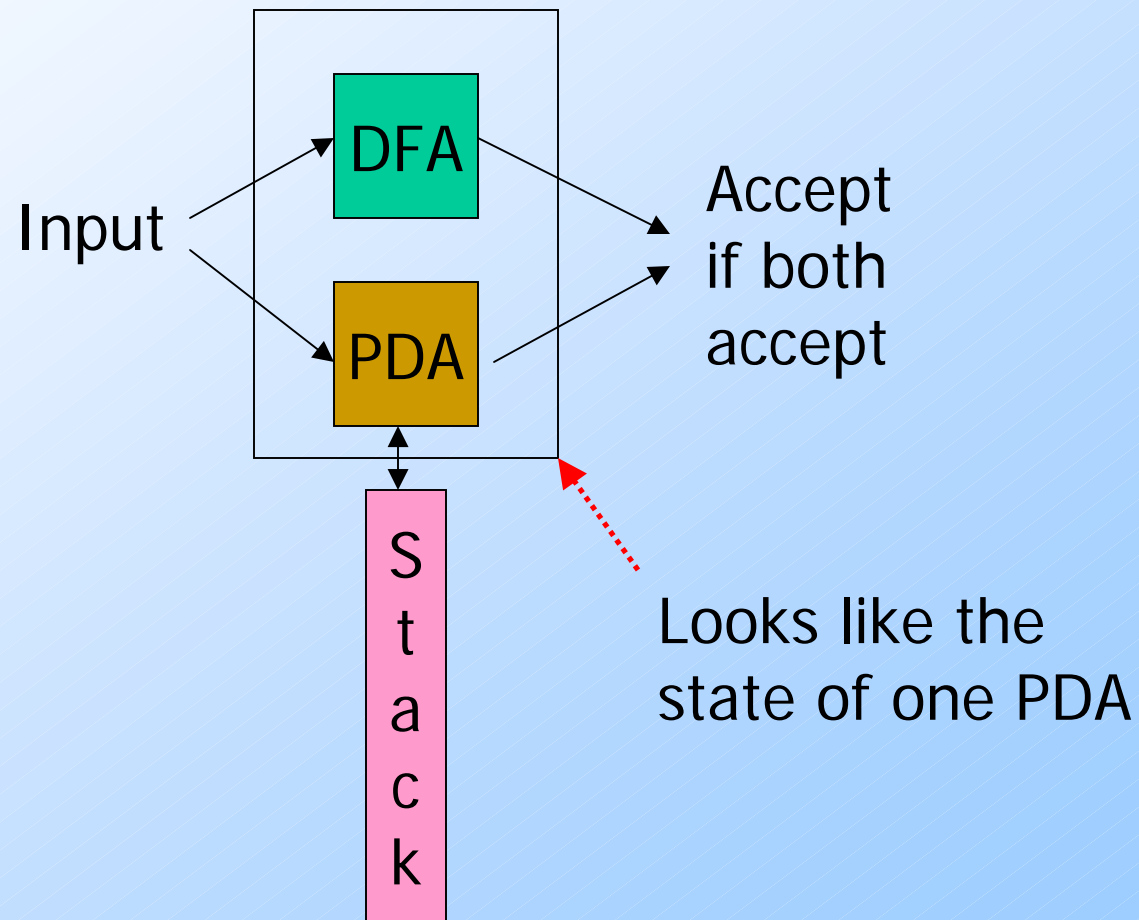
Nonclosure Under Difference

- ◆ We can prove something more general:
 - ◆ Any class of languages that is closed under difference is closed under intersection.
- ◆ **Proof:** $L \cap M = L - (L - M)$.
- ◆ Thus, if CFL's were closed under difference, they would be closed under intersection, but they are not.

Intersection with a Regular Language

- ◆ Intersection of two CFL's need not be context free.
- ◆ But the intersection of a CFL with a regular language is always a CFL.
- ◆ **Proof** involves running a DFA in parallel with a PDA, and noting that the combination is a PDA.
 - ◆ PDA's accept by final state.

DFA and PDA in Parallel



Formal Construction

- ◆ Let the DFA A have transition function δ_A .
- ◆ Let the PDA P have transition function δ_P .
- ◆ States of combined PDA are $[q,p]$, where q is a state of A and p a state of P .
- ◆ $\delta([q,p], a, X)$ contains $([\delta_A(q,a),r], \alpha)$ if $\delta_P(p, a, X)$ contains (r, α) .
 - ◆ Note a could be ε , in which case $\delta_A(q,a) = q$.

Formal Construction – (2)

- ◆ Accepting states of combined PDA are those $[q,p]$ such that q is an accepting state of A and p is an accepting state of P .
- ◆ **Easy induction:** $([q_0,p_0], w, Z_0) \vdash^* ([q,p], \varepsilon, \alpha)$ if and only if $\delta_A(q_0, w) = q$ and in P : $(p_0, w, Z_0) \vdash^* (p, \varepsilon, \alpha)$.